

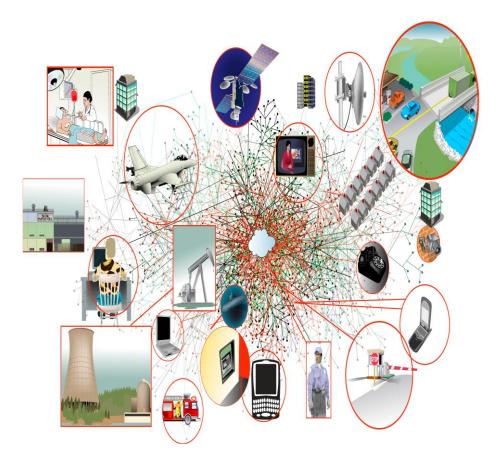
Acquisition Reform: Leadership in Balancing Cost, Schedule and Performance

The Fall 2011 Software Assurance Forum Software Engineering Institute Arlington, VA 22203 12-16 September 2011

Dr. Kenneth E. Nidiffer Software Engineering Institute Carnegie Mellon University Pittsburgh, PA 15213 703-908-1117

Overview

- Introductions
- Panel Discussions
- Q&A Session



Our civilization runs on software Bjarne Stroustrup*

^{*} Danish computer scientist, most notable for the creation and the development of the widely used C+



Software Assurance



Software assurance (SwA: "the level of confidence that software is free from vulnerabilities, either intentionally designed into the software or accidentally inserted at anytime during its <u>lifecycle</u>, and that the software functions in the intended manner"*

Software assurance addresses:

- <u>Trustworthiness</u> No exploitable vulnerabilities exist, either maliciously or unintentionally inserted;
- <u>Predictable Execution</u> Justifiable confidence that software, when executed, functions as intended;
- <u>Conformance</u> Planned and systematic set of multi-disciplinary activities that ensure software processes and products conform to requirements, standards/procedures.

*Source: National Information Assurance Glossary"; CNSS Instruction No. 4009 National Information Assurance Glossary

Acquisition: IT is different from a Weapon System --- and Critical to Enable a More Resilient Cyber Environment

Weapon Systems

- Weapon platform centric
- Military unique requirements
- Development of militaryunique, breakthrough technologies
- Development cycle of decade or more
- Production decisions for unique HW
- Service lives extending into decades



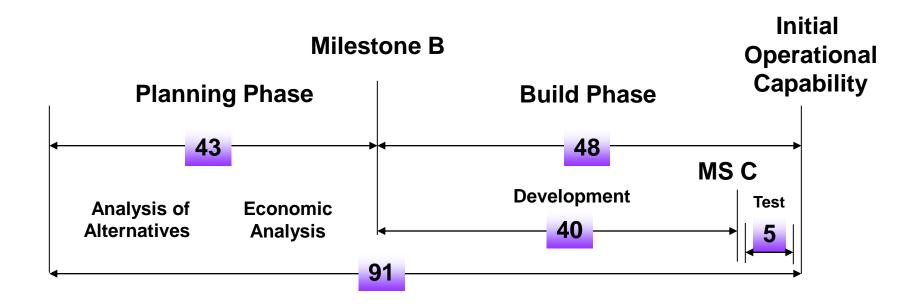
IT Systems

- Enterprise network centric
- Adapt commercial capabilities for military needs
- Leverage commercial technologies
- Technology cycle 12-18 months
- Procure commodity HW
- Periodic technology refresh to avoid obsolescence

Demands a Different Acquisition Process

DoD IT Acquisition Cycle-Time- 32 MAIS





Cycle-Time Driven by Processes Developed to Counter a Cold War Adversary In Industrial Age Society

Source: Defense Science Board Report, March 2009



IT Acquisition Reform Imperative

Congress

- Develop and Implement a new process for Acquiring IT (FY10 NDAA* Section 804)
- HASC** Panel on Defense Acquisition Reform Finding and **Recommendations (23 March 2010)**

Widely documented Problems with DoD IT Acquisitions

- Defense Science Board
 - -Jan 09 Integrating COTS
 - -Mar '09 IT Acquisition
 - -Apr '09 Fix the Acq process
- -Jul '09 Rapid Acquisition
- Industry Associations
- -AFEI, TechAmerica,
- National Academies Achieving Effective Acq of IT in DoD 2010
- •Business Leads Aug '08 Joint DISA IT Review







Federal CIO

25-Pt Implementation Plan to Reform Federal IT Management

Vivek Kundra, U.S. CIO, **December 9, 2010**



"First step [for DoD to succeed in delivery of IT] is to acknowledge that simply tailoring the existing processes in not sufficient" (National Research Council, DEC 2009)

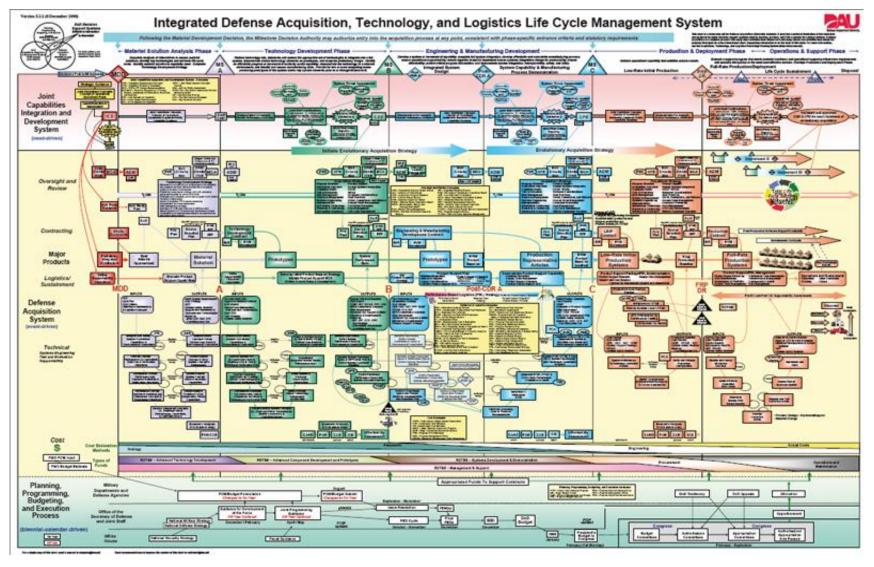
NDAA: National Defense Authorization Act; HASC: House Armed Services Committee; AFEI: Association for Enterprise Information; DISA: Defense Information Systems Agency



DoD IT Acquisition Challenges

| | House Armed Services Committee | National Research Council | Defense Science Board | Business Executives for National Security |
|---------------------------------------------------------------------------------------------------------------|--------------------------------------|---------------------------------|--------------------------|----------------------------------------------------|
| Defense acquisition process structured for weapon systems; ill-suited for information technology | ~ | / | ~ | • |
| Systems take too long to deliver; inconsistent with technology cycle | / | ✓ | V | |
| Too document intensive, time consuming, and process bound to respond effectively to end-user needs | ~ | > | ~ | ~ |
| Oversight process not aligned with rapid acquisitions (favors large programs, high-level oversight) | | ✓ | | • |
| Lack of accountability by personnel in the oversight process | | ✓ | | · |
| Complexity inherent in aligning three major Departmental processes - Requirements, Resourcing and Acquisition | ' | | | ~ |
| Funding process inconsistent with pace of evolving mission requirements | ~ | > | | |
| Current metrics (financial, acquisition process) don't work well in measuring IT success | ~ | / | | |
| Lack of meaningful trades between performance, cost, and date-to-field | · | ✓ | ~ | · |
| Overly detailed requirements that are inconsistent with pace of technology change and need for rapid delivery | ~ | > | | ~ |
| Inability to prioritize requirements effectively | ~ | ✓ | | · |
| Testing is integrated too late and serially | ~ | V | | |
| Cyber-security is inadequately managed during the acquisition process | | | V | |
| Lack sufficient numbers of individuals with proven records of acquisition success | V | ✓ | ~ | ~ |
| Significant cultural impediments to change | ~ | | | ~ |

An Effective Process for Major Defense Systems – Considers Complete Life Cycle – Not Very Agile



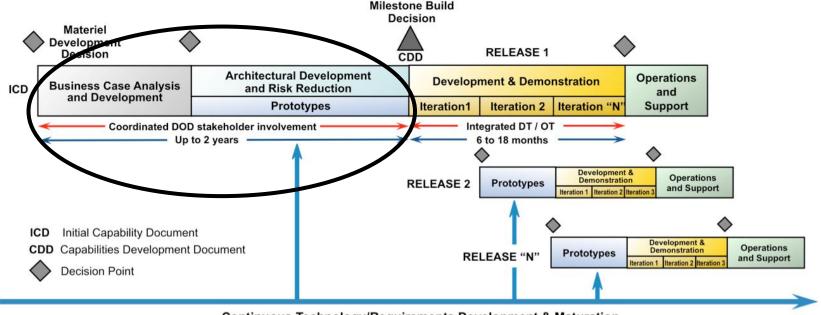
Software Evolution and Maintenance Cost Is Increasing

| Year | Proportion of software maintenance costs | Definition | Reference |
|------|------------------------------------------|-------------------------------------------------------------------------------------|--------------------------------|
| 2000 | >90% | Software cost devoted to system maintenance & evolution / total software costs | Erlikh (2000) |
| 1993 | 75% | Software maintenance / information system budget (in Fortune 1000 companies) | Eastwood (1993) |
| 1990 | >90% | Software cost devoted to system maintenance & evolution / total software costs | Moad (1990) |
| 1990 | 60-70% | Software maintenance / total management information systems (MIS) operating budgets | Huff (1990) |
| 1988 | 60-70% | Software maintenance / total management information systems (MIS) operating budgets | Port (1988) |
| 1984 | 65-75% | Effort spent on software maintenance / total available software engineering effort. | McKee (1984) |
| 1981 | >50% | Staff time spent on maintenance / total time (in 487 organizations) | Lientz & Swanson (1981) |
| 1979 | 67% | Maintenance costs / total software costs | Zelkowitz <i>et al.</i> (1979) |

<u>Source: Jussi Koskinen,</u> Department of Computer Science and Information Systems, University of Jyväskylä P.O. Box 35, 40014 Jyväskylä, Finland

Accelerating Assured Software Delivery for the Mission





Continuous Technology/Requirements Development & Maturation

Front-end systems and software engineering are critical disciplines of delivering enhance incremental software capabilities

Why Are Software Intensive IT Projects Difficult?

According to Fred Brooks* software projects are difficult because of accidental and essential difficulties

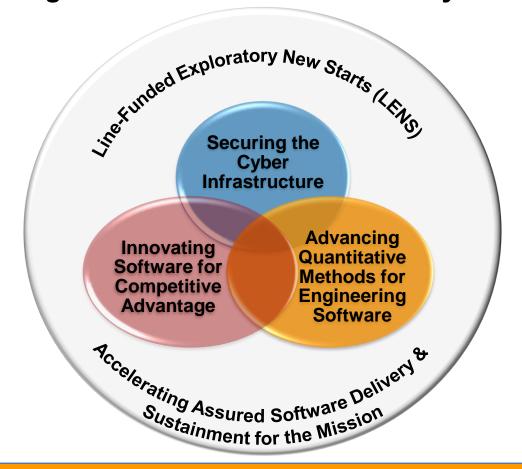
- Accidental difficulties are caused by the current state of our understanding
 - of methods, tools, and techniques
 - of the underlying technology base
- Essential difficulties are caused by the inherent nature of software
 - invisibility lack of physical properties
 - conformity
 - -changeability
 - -complexity



Dr. Fred Brooks, Jr.

^{*} Source: The Mythical Man-Month by Fred Brooks, Addison Wesley, 1995

Accelerating Assured Software Delivery for the Mission



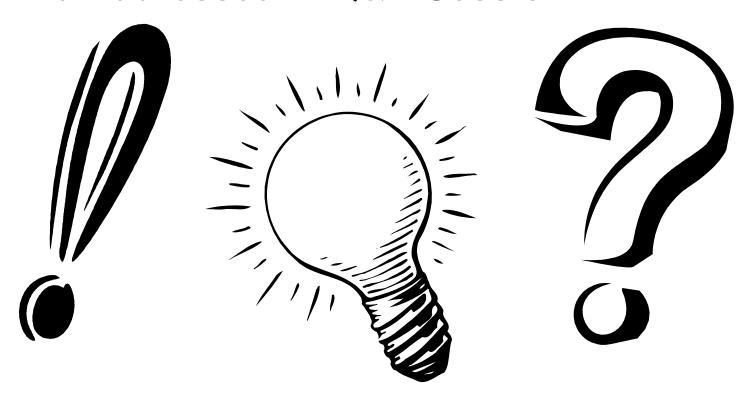
The Master of Software Assurance (MSwA) Reference Curriculum http://www.cert.org/mswa/

EXPLORE CREATE APPLY AMPLIFY SUSTAIN





Will Be Addressed In Q&A Session



Carnegie Mellon

Contact Information

Dr. Kenneth E. Nidiffer, Director of Strategic Plans for Government Programs

Software Engineering Institute, Carnegie Mellon University

Office: + 1 703-908-1117

Fax: + 1 703-908-9317

Email: <u>nidiffer@sei.cmu.edu</u>



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- ^{14.} Zelkowitz, M., Shaw, A. & Gannon, J. (1979). "Principles of Software Engineering and Design". Prentice-Hall.

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